



Optimized Artificial Intelligence for Enhanced Ectasia Detection Using Scheimpflug-Based Corneal Tomography and Biomechanical Data

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- **PURPOSE:** To optimize artificial intelligence (AI) algorithms to integrate Scheimpflug-based corneal tomography and biomechanics to enhance ectasia detection.
- **DESIGN:** Multicenter cross-sectional case-control retrospective study.
- **METHODS:** A total of 3886 unoperated eyes from 3412 patients had Pentacam and Corvis ST (Oculus



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Optikgeräte GmbH) examinations. The database included 1 eye randomly selected from 1680 normal patients (N) and from 1181 “bilateral” keratoconus (KC) patients, along with 551 normal topography eyes from patients with very asymmetric ectasia (VAE-NT), and their 474 unoperated ectatic (VAE-E) eyes. The current TBIv1 (tomographic-biomechanical index) was tested, and an optimized AI algorithm was developed for augmenting accuracy.

• **RESULTS:** The area under the receiver operating characteristic curve (AUC) of the TBIv1 for discriminating clinical ectasia (KC and VAE-E) was 0.999 (98.5% sensitivity; 98.6% specificity [cutoff: 0.5]), and for VAE-NT, 0.899 (76% sensitivity; 89.1% specificity [cutoff: 0.29]). A novel random forest algorithm (TBIv2), developed with 18 features in 156 trees using 10-fold cross-validation, had a significantly higher AUC (0.945; DeLong, $P < .0001$) for detecting VAE-NT (84.4% sensitivity and 90.1% specificity; cutoff: 0.43; DeLong, $P < .0001$) and a similar AUC for clinical ectasia (0.999; DeLong, $P = .818$; 98.7% sensitivity; 99.2% specificity [cutoff: 0.8]). Considering all cases, the TBIv2 had a higher AUC (0.985) than TBIv1 (0.974; DeLong, $P < .0001$).

• **CONCLUSIONS:** AI optimization to integrate Scheimpflug-based corneal tomography and biomechanical assessments augments accuracy for ectasia detection, characterizing ectasia susceptibility in the diverse VAE-NT group. Some patients with VAE may have true unilateral ectasia. Machine learning considering additional data, including epithelial thickness or other parameters from multimodal refractive imaging, will continuously enhance accuracy. **NOTE:** Publication of this article is sponsored by the American Ophthalmological Society. (Am J Ophthalmol 2023;251: 126–142. © 2023 The Authors. Published by Elsevier Inc. This is an open access article under the CC BY license (<http://creativecommons.org/licenses/by/4.0/>))

REFRACTIVE SURGERY HAS STIMULATED TREMENDOUS advances in corneal imaging to enhance safety and predictability of elective and therapeutic procedures. As predicted by Wilson and Klyce¹ in 1991, advances in corneal analysis enable the surgeon to customize refractive corrections and enhance the efficacy to a level that Helmholtz, Placido, and Gullstrand would undoubtedly have been impressed. Nevertheless, the overwhelming data generated determined the need for more efficient ways to analyze the data. Artificial intelligence (AI), introduced in 1956, has gained increased relevance in ophthalmology with multiple potential applications.^{2–6} AI algorithms use computer processing power to simulate and augment human interpretation. Such an approach enhances data analysis and the efficiency of clinical decisions by employing a consistent multidimensional assessment of the large volume

of information available, such as when assessing data from multimodal corneal and refractive imaging.^{7,8}

Maeda and associates⁹ pioneered the field in describing a neural network (NN) model based on 11 Placido disk-based topography indices. The automated NN pattern interpretation provided a correct classification for all 108 maps in the training set but correctly classified only 80% (60 of 75) of the maps in the testing group. Although such a difference was statistically significant, the authors discussed the concept of reinforced learning for further refining the model and predicted the paradigm shift related to AI in the field.⁹ Since then, AI has made a significant impact on the detection and treatment of keratoconus (KC) and ectatic corneal diseases (ECD).^{10–24}

The paradigm shift in ECD management was related to introducing crosslinking and intrastromal corneal ring segments that could be used earlier in the disease process than penetrating keratoplasty.^{25,26} These newer treatment modalities highlighted the importance of recognizing mild or subclinical ectatic disease, which is also paramount for identifying patients at risk for iatrogenic ectasia after corneal laser vision correction (LVC).²⁷ Assessing ectasia risk among elective refractive surgery candidates has evolved to the characterization of the inherent susceptibility of the cornea for biomechanical decompensation and ectasia progression, which lies beyond detecting mild cases with ECD.^{28–30} In addition, the ectasia risk assessment should also include the impact of the LVC procedure, which has been heightened by studies involving finite element analysis.^{31,32} This concept is in agreement with McGhee’s 2-hit hypothesis that genetic (intrinsic) and environmental (extrinsic) factors play a role in the etiology of KC,²⁵ and the biomechanical cycle of decompensation of corneal ectasia proposed by Dupps and Roberts.³³

Patients presenting with clinical ectasia in one eye but with the contralateral eye with normal anterior curvature (topography) and normal vision have been classically referred to as one of the possible categories of forme fruste KC (FFKC).³⁴ Although there are no unified criteria to define subclinical KC (SCKC) and FFKC in the literature,³⁵ such asymmetric cases have been studied using advanced imaging, such as corneal tomography, to demonstrate an improved ability to detect ECD at an early preclinical stage.^{7,12,13,17,19,36–39} Such cases are referred to as very asymmetric ectasia (VAE)¹⁷ or highly asymmetric ectasia.¹⁹ In a study involving cases from Rio de Janeiro (Brazil) and Milan (Italy), the tomographic and biomechanical index (TBI) was developed with AI by applying random forest with leave-one-out cross-validation (RF/LOOCV). The training set included 94 normal topography eyes from patients with VAE (VAE-NT), along with 1 eye randomly selected from each of 480 normal patients, 1 eye randomly selected from 204 “bilateral” KC cases, and the 72 unoperated ectatic eyes (VAE-E). Considering the LOOCV result, the cutoff of 0.79 provided 100% sensitivity and specificity to detect clinical ectasia (KC + VAE-E cases).

An adjusted cutoff of 0.29 provided 96% specificity and 90.4% sensitivity, with an area under the receiver operating characteristic curve (AUC) of 0.985.¹⁷

External validation studies confirmed that the TBI had a very high sensitivity and specificity for detecting ECD.⁴⁰⁻⁴⁷ Some studies, however, found a relatively lower sensitivity for detecting abnormality among eyes considered SCKC.⁴⁸⁻⁵² This could be explained by different criteria used to define SCKC,⁵³ including having normal tomography and biomechanics, based on BAD-D (Belin/Ambrósio Enhanced Ectasia Deviation)³⁷ and CBI (Corvis Corneal Biomechanical Index).¹⁵ One must consider that some of these supposedly false-negative SCKC cases may truly represent patients with unilateral ectasia due to mechanical trauma such as eye rubbing.⁵⁴⁻⁵⁷ Nevertheless, these findings support the unquestionable need for further enhancing the sensitivity to identify subclinical or mild ectasia cases.

The current study aimed to test if AI for integrating Scheimpflug tomography and biomechanical assessments could be upgraded or optimized by machine learning, using a more extensive population data set, thereby providing higher accuracy for ectasia detection.

METHODS

The current multicenter retrospective study followed the 1964 Declaration of Helsinki (revised in 2000). The institutional review board and human ethics committee of the Universidade Federal de São Paulo (UNIFESP, São Paulo, Brazil) approved this study.

- **PARTICIPANTS:** The study database comprised 3886 unoperated eyes from 3412 patients. Twenty-five international centers contributed to the data collection. In a similar fashion to work accomplished in the development of the first version of the TBI,¹⁷ the eyes were divided into 4 groups: normal patients (N), clinical “bilateral” KC patients, VAE-NT, and the VAE-E eyes from the patients with VAE. One eye was randomly selected from the N and KC groups. Both eyes of the patient with VAE entered the study unless the VAE-E eye had undergone any surgery ($n = 1680$ [N], 1181 [KC], 551 [VAE-NT], and 474 [VAE-E], respectively).

Every patient had a comprehensive ophthalmic examination, including the Corvis ST and Pentacam HR (Oculus Optikgeräte GmbH). As discussed below, only examinations with adequate quality for proper analysis were included. Patients were asked to suspend the use of soft contact lens wear for at least 1 week before the examination, and rigid or hybrid contact lenses were discontinued for a minimum period of 3 weeks. All cases had the Quad Refractive Map tomographic data blindly re-evaluated by a fellowship-trained expert (R.A.) on Cornea and Refractive Surgery to confirm the inclusion criteria.

The inclusion criterion for a normal subject (N) was a clinically unremarkable general eye examination in both eyes, including normal slitlamp biomicroscopy, distance-corrected visual acuity of 20/25 or better, normal Pentacam topometric findings, no previous surgery, and no use of topical medications different from artificial tears in both eyes. The diagnostic criterion for KC was clinical ectasia in both eyes without previous ocular surgeries.^{58,59} The criteria for the diagnosis of corneal ectasia included topometric characteristics, such as skewed asymmetric bow-tie, inferior steepening, and at least 1 biomicroscopic slitlamp finding (Munson’s sign, Vogt’s striae, Fleischer’s ring, apical thinning, Rizutti’s sign).⁵⁹ VAE criteria were the diagnosis of ectasia in 1 eye based on the prior hitherto described criteria and the fellow eye being clinically normal based on normal biomicroscopy and a relatively normal front surface curvature (Pentacam topometric data).

All measurements with the Corvis ST and Pentacam HR were taken by experienced technicians considering previously reported protocols.¹⁷ Proper examination quality was also assured by a manual, frame-by-frame analysis of each examination, made by an independent masked examiner to ensure the quality of each acquisition. The primary criterion for the Corvis ST measurement was good edge detection over the whole deformation response, excluding alignment errors (x-direction) and blinking errors. Pentacam HR and Corvis ST data were exported to a custom spreadsheet using special software. The Pentacam software version 1.21r59 and Corvis ST software version 1.6b2015 were used to extract an anonymous database containing 340 parameters from rotating Scheimpflug tomography and 90 parameters from the ultrahigh-speed Scheimpflug deformation response during noncontact tonometry.

- **ARTIFICIAL INTELLIGENCE OPTIMIZING PROTOCOL AND STATISTICS:** Different software tools performed statistical analyses and AI development: MedCalc Statistical Software version 16.8.4 (MedCalc, URL: <https://www.medcalc.org/>), SPSS version 23 (IBM Corp), the R-Core Team version 3.3.1.2016 (R Foundation for Statistical Computing, URL: <https://www.R-project.org/>), a custom-written MATLAB program (R14; The MathWorks), the Orange version 3.21.1 (University of Ljubljana, URL: <https://orangedatamining.com/>), and the Seaborn Python data visualization version 0.11.2 (<http://seaborn.pydata.org/>).

The data protection procedures included deidentifying the data by a computer server to store and process the data that were based on the TRIPOD (transparent reporting of a multivariable prediction model for individual prognosis or diagnosis) recommendations.⁶⁰ The data were analyzed and combined using different AI approaches using the “Knowledge Discovery in Databases” methodology.⁶¹ The basic flow of steps for the data mining and the creation of the AI functions is summarized in Figure 1. The process goes from understanding the problem based on previous knowl-

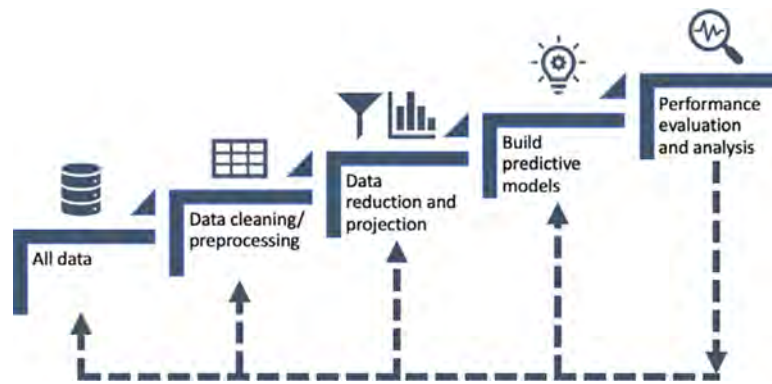


FIGURE 1. Basic flow of steps for the data mining and the creation of the artificial intelligence.

edge, feature selection, and creating different algorithms with cross-validation to validate the models. Then, these AI models are carefully analyzed and further refined to select the final best algorithm.

The first step was data cleaning and preprocessing. The initial data set has 430 attributes, including data related to the examination acquisition. Basic operations removed all irrelevant attributes with more than 40% of the same value, more than 40% of empty values, or with a variance of less than 0.09 among the patients. This step reduced the data set to 219 attributes. Then, the data sets from the original TBIv1 study ($n = 778$ patients; 850 eyes)¹⁷ and from the first external validation, including cases from Rio de Janeiro ($n = 487$ patients; 544 eyes),⁴² were separated. The remaining 2474 eyes from 2147 patients were divided into 2 subsets, training (2/3) and validation (1/3 eyes), for multiple iterations in the next step. Data reduction and projection were performed on training subsets using automatic feature selection techniques, such as forward selection, backward elimination, and genetic algorithms.⁶² These methods were applied in a wrapper approach with the following machine learning algorithms: NN with multilayer perceptron (NN/MLP), k-nearest neighbors, decision tree (DT), logistic regression analysis (LRA), and RF. More than 1500 different attribute combinations were extracted as the next step considering or not including the TBIv1.

The next phase focused on the models that did not include the TBIv1 and applied those models to the complete data set. At this point, the goal was to build up and rank the predictive models based on the accuracy for ectasia detection. Each selected attribute combination was performed using the selected machine learning algorithm. The 10-fold cross-validation was chosen because of the larger population, decreasing computational time, and complexity. In this step, 790 predictive models were analyzed and ranked automatically based on the best AUC and sensitivity for detecting cases with VAE-NT. The top 15 models were selected and carefully analyzed for electing the best 5 models. Each model was carefully reviewed, considering the clinical relevance of the selected features. Finally, the set of features

for each model was tested with different machine learning algorithms to define the best model based on the highest consistency and accuracy.

- **STATISTICAL ANALYSIS:** There were 3 types of analysis performed: normal vs “disease” (KC + VAE-E + VAE-NT), N vs clinical ectasia (KC + VAE-E), and N vs VAE-NT. First, the Shapiro-Wilk test checked for normal distributions. Considering that the distributions of the parameters in the KC group were non-normally distributed, the analyzed parameters were compared among the groups using the nonparametric Kruskal-Wallis test, followed by the post hoc Dunn’s test to compare each pair of groups. Statistical significance was determined for a P value lower than .001.

The AUC was calculated for each parameter, considering the best cutoff value for the highest accuracy, determining sensitivity and specificity. Pairwise comparisons of the AUCs were accomplished with a nonparametric approach as described by DeLong and associates⁶³ to compare the performance of diagnostic tests. Separation curves that display accuracy as a function of shifting the cutoff value were plotted as described by Bühren and associates.¹¹ This method allows for comparisons among the different metrics using normalized cutoff points by a Z transformation in which the optimum cutoff is adjusted to zero. The area under the separation curve (AUSEP) calculates for the y limits of 50% and 100% accuracy, separating the x limits of -1 and 1 standard deviations. Thus, the AUSEP values indicate the tolerance to shifts on the cutoff criteria, which may evaluate the discriminatory ability of the parameter.¹¹ For receiver operating characteristic analysis, a custom-written MATLAB program (R14; the MathWorks) was used to confirm results obtained by MedCalc. All combined parameters were programmed to have their output values as a scale value ranging from zero to 1. An LRA function was created for the BAD-D to facilitate graphical comparisons.¹⁷

The correlations between the parameters were tested with Pearson’s correlation coefficient (r) or Spearman’s coefficient of rank correlation (ρ) accordingly to the distribution of the variables.

TABLE 1. Descriptive Statistics for Age

Group	N	Mean	SD	Median	Minimum	25th Percentile	75th Percentile	Maximum
Clinically normal	1680	34.19	13.69	30.37	6.99	24.45	41.00	90.06
KC	1181	31.59	11.18	29.59	6.64	23.67	37.18	78.39
VAE-E	474	31.03	12.74	27.88	10.34	21.88	37.44	83.15
VAE-NT	551	31.02	13.03	27.93	10.34	21.65	37.22	83.15

KC = keratoconic, VAE-E = ectatic unoperated eyes from the very asymmetric ectasia patients, VAE-NT = normal topography from very asymmetric ectasia patients.

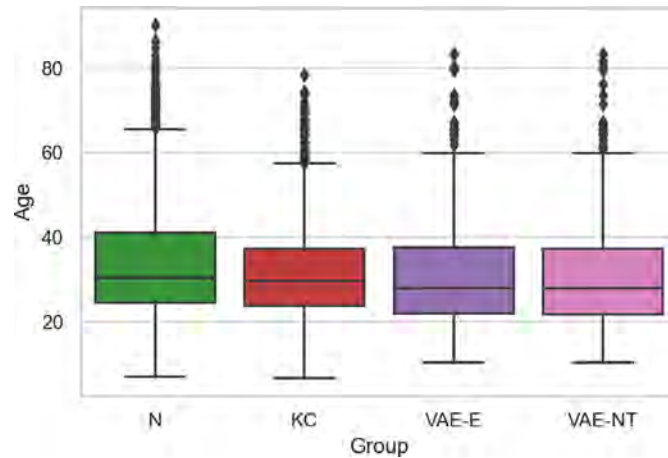


FIGURE 2. Descriptive statistics for age. KC = keratoconic, N = normal patient, VAE-E = ectatic unoperated eyes from the very asymmetric ectasia patients, VAE-NT = normal topography from very asymmetric ectasia patients.

RESULTS

Table 1 summarizes the age demographics. The mean age in the normal group was 34.2 years, and 31.6 and 31 years in the KC and VAE groups, respectively. There were significant differences in age (Kruskal-Wallis test, $P < .001$), with post hoc Dunn's analysis being significant for the differences with normal patients being older than the other groups ($P < .001$). However, such differences were considered of limited clinical significance, as shown in Figure 2.

The algorithm with the highest accuracy for enhancing ectasia detection was a novel RF algorithm, called the BrAIN-TBI (Brazilian Artificial Intelligence Networking in Medicine) or TBIv2. The TBIv2 included 18 features using 156 trees with 10-fold cross-validation. Table 2 summarizes the 18 features selected, including 10 parameters from rotating Scheimpflug corneal tomography (Pentacam) and 8 parameters from corneal deformation response during non-contact tonometry (Corvis ST).

An LRA function was applied for the BAD-D version 3 (Belin/Ambrósio Enhanced Ectasia Deviation [v3]), so that it was normalized as an index, ranging from 0 to 1 (BAD-DI). The BAD-DI was ($y = a + b * x$): -4.02447 (con-

stant) + (2.5203 * BAD-D). The BAD-D and BAD-DI had a perfect correlation (Spearman's coefficient of rank correlation; $P < .0001$; $\rho = 1.0$).

Figure 3 illustrates the scatterplot matrices of the most significant parameters plotted pairwise: age, BAD-D, BAD-DI, PRFI (Pentacam Random Forest Index), stiffness parameter at first applanation, CBI, TBIv1, and TBIv2. Figure 4 presents the box/dot-plot distributions for the most relevant parameters in the 4 groups.

Table 3A, B, and C summarizes the AUC data, comparing the accuracy of the TBIv1,¹⁷ the novel TBIv2, the PRFI,¹⁶ the BAD-D,³⁷ and the CBI¹⁵ for $N \times$ all (KC + VAE-E + VAE-NT), $N \times$ clinical ectasia (KC + VAE-E), and $N \times$ VAE-NT, respectively. For every pairwise comparison for every analysis, the RF parameters (TBIv1, TBIv2, and PRFI) had a statistically significant higher AUC than BAD-D and CBI (DeLong, $P < .001$). In addition, the BAD-D had a higher AUC than CBI for all studies (DeLong, $P < .001$).

For all cases ($N \times$ KC + VAE-E + VAE-NT), the TBIv1 had an AUC of 0.974, with 90% sensitivity and 96.2% specificity for a cutoff of 0.41. The AUC of the TBIv1 was 0.999 for discriminating clinical ectasia (KC and VAE-E), with 98.5% sensitivity and 98.6% specificity for a cutoff of

TABLE 2. The 18 Features Selected for the AI Algorithm of the TBIv2

Parameter	Origin	Definition
CBI	Corvis ST	Corvis Corneal Biomechanical Index
DARatioTMax2mm	Corvis ST	Ratio of the deformation amplitude at 2 mm
DensitoIncreaseMax	Corvis ST	The maximal change in backscattering of the cornea during the exam
HC Deformation Amp_mm	Corvis ST	Highest concavity deformation amplitude
MaxInverseRadius	Corvis ST	Minimal radius of curvature during the concave phase of deformation
PeakDist_mm	Corvis ST	Horizontal distance between the 2 highest points (nasal and temporal) of the cornea at the time of maximum deformation
SPA1	Corvis ST	Stiffness parameter at the first applanation
SPHC	Corvis ST	Stiffness parameter at the highest concavity
BADDb	Pentacam	Deviation from normality of the enhanced posterior elevation best-fit-sphere
BFSFront Thinnest 3mm	Pentacam	Best-fit sphere for anterior 3 mm centered at the thinnest point
ISValue	Pentacam	Inferior-superior axial steepening
KMaxFrontY	Pentacam	Vertical deviation of the point with maximal keratometry (Kmax) from the apex
Pac_Asymm_ApexVert8.0mm	Pentacam	Vertical asymmetry of the pachymetry at 8 mm
PRFI	Pentacam	Pentacam Random Forest Index
SphRMin	Pentacam	The minimal mean radius of a ring based on Fourier analysis (zero-order component)
TiltMinAxisMVP	Pentacam	Axis location of maximal decentration based on Fourier analysis (first-order wave component)
TiltMinMVP	Pentacam	The maximum decentration based on Fourier analysis (first-order wave component)
Ele. BBFTE 8mm @ Thinnest	Pentacam	Back (posterior) elevation at the thinnest point considering a best-fit toric ellipsoid for 8 mm

AI = artificial intelligence, TBI = tomographic and biomechanical index.

0.5. The AUC of the TBIv1 was 0.899 for VAE-NT, with 76% sensitivity and 89.1% specificity for an optimized cut-off of 0.29. For all cases, the TBIv2 had an AUC of 0.985, with 92.8% sensitivity and 97.4% specificity (cutoff: 0.65), which was higher than TBI (0.974; DeLong, $P < .0001$). The TBIv2 had a similar AUC for clinical ectasia (0.999; DeLong, $P = .818$; 98.7% sensitivity and 99.2% specificity [cutoff: 0.8]) but had a higher separation curve. The TBIv2 had a significantly higher AUC (0.945; DeLong, $P < .0001$) for detecting VAE-NT (84.4% sensitivity and 90.1% specificity [cutoff: 0.43]; DeLong, $P < .001$). The combination of these 18 features also performed well in an LRA with an AUC of 0.984, and an NN/MLP including these features had an AUC of 0.981 for all cases ($N \times KC + VAE-E + VAE-NT$).

The study comparing normal vs all cases or “disease” ($KC + VAE-E + VAE-NT$) is summarized in Table 3A and Figure 5A. The TBIv2 had a significantly higher AUC than all other parameters (DeLong, $P < .0001$). The TBIv1 had a larger AUC (0.974) than PRFI (0.972) but with no statistical significance (DeLong, $P = .2979$). The AUSEP curves provided higher separation for the TBIv2 than the TBIv1 and PRFI (87, 82, and 68, respectively).

Table 3B and Figure 5B indicate the study to distinguish N from clinical ectasia cases ($KC + VAE-E$). The TBIv2, the TBIv1, and the PRFI had a similar AUC of 0.999 and the same 95% confidence intervals between 0.997 and 1. The AUC of the BAD-D was 0.995, which was statistically lower than the TBI and PRFI, but higher than the AUC of the CBI (0.968; DeLong, $P < .0001$).

The comparison of normal corneas vs the cases with VAE-NT is presented in Table 3C and Figure 5C. In these diverse cases, the difference in accuracy is more pronounced. The TBIv2 had a higher AUC (0.945) than all other parameters (DeLong, $P < .0001$). The TBIv1 had a larger AUC (0.899) than PRFI (0.893) but was not statistically significant (DeLong, $P = .299$). The AUSEP curves provided higher separation for the TBIv2 than the TBIv1 and PRFI (70, 58, and 36, respectively). The BAD-D had an AUC of 0.823, which was statistically lower than the TBIv1 and PRFI but higher than the AUC of the CBI (0.788; DeLong, $P < .0001$). The TBIv1 and TBIv2 had a very high positive correlation with ρ of 0.902 (95% confidence interval: 0.896-0.908; Spearman, $P < .0001$; Figure 6).

The Kruskal-Wallis test found statistically significant differences for the TBIv1, TBIv2, PRFI, BAD-D, and CBI for maximal axial keratometric value (Kmax), inferior-superior asymmetry value (IS-value), and Ambrósio’s relational thickness to the meridian with maximal pachymetric increase (ART-max).⁶⁴ There was statistical significance for every parameter at each pairwise group comparison in post hoc Dunn ($P < .001$), unless for KC vs $VAE-E$ in any parameter. Table 4 summarizes the descriptive statistics (median and range) for these parameters.

The BAD-D, the best cutoff for distinguishing normal corneas from all groups ($KC + VAE-E + VAE-NT$), was 1.82, with a sensitivity of 85.5% and a specificity of 97.7%. The BAD-DI cutoff of 0.63 provided similar accuracy. For detecting clinical ectasia ($KC + VAE-E$), the best cutoff of the BAD-D was 1.98 (BAD-DI of 0.72), with a sensitivity

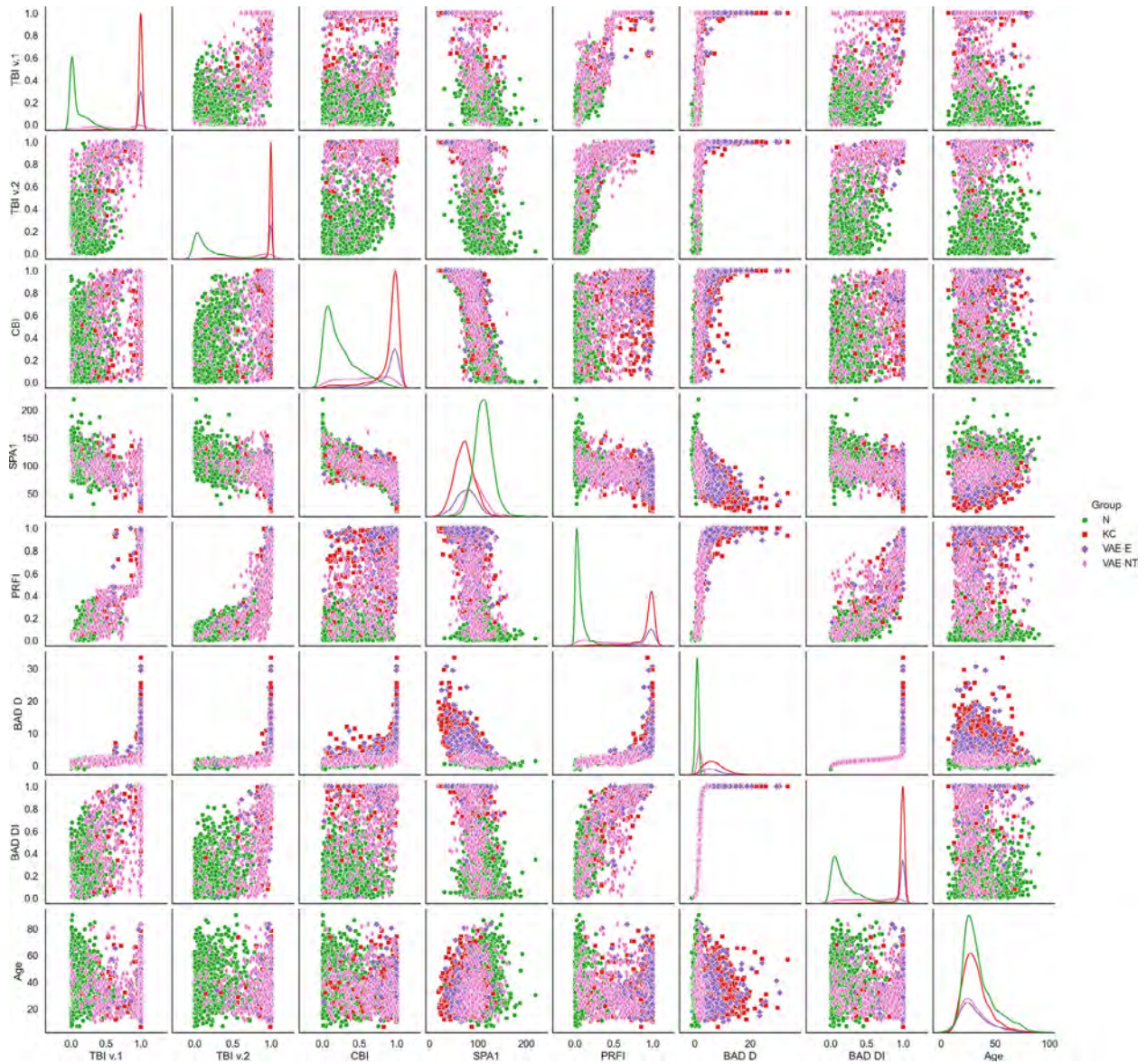


FIGURE 3. Scatterplot matrix of the first version of the tomographic and biomechanical index (TBIv1), the optimized version of the TBIv2, Pentacam random forest index (PRFI), Corvis biomechanical index (CBI), the stiffness parameter at first applanation (SPA1), Belin/Ambrósio Deviation (BAD-D), Belin/Ambrósio Deviation normalized index (BAD-DI), and age. KC = keratoconic, N = normal patient, VAE-E = ectatic unoperated eyes from the very asymmetric ectasia patients, VAE-NT = normal topography from very asymmetric ectasia patients.

of 96.8% and a specificity of 99.3%. The best cutoff for distinguishing the normal and the diverse VAE-NT group was 1.27 (BAD-DI of 0.31) with 70.8% sensitivity and 80.4% specificity. Interestingly, the cutoff of 1.6 (BAD-DI of 0.51), in which the parameter turns yellow in the BAD-D, would lead to 94% specificity and 98.4% sensitivity for clinical ectasia, but with a low sensitivity of 52.1% for the cases with VAE-NT.

In the original TBIv1 study,¹⁷ objective criteria were rigorously applied for the cases with VAE-NT to be consid-

ered typical topometric data, including the KC percentage index (KISA%) lower than 60 and a paracentral IS-value at 6 mm (3 mm radii) less than 1.45D.⁶⁵ This criterion avoids recognized problems related to subjectivity and inter- and intra-examiner inconsistencies for the classifications of topometric maps.⁶⁶ Although 511 (92.7%) VAE-NT eyes obeyed such a criterion, there were 40 eyes from this group in the current study with objective topometric abnormalities. These cases were kept in the VAE-NT group as primarily selected SCKC because they were confirmed

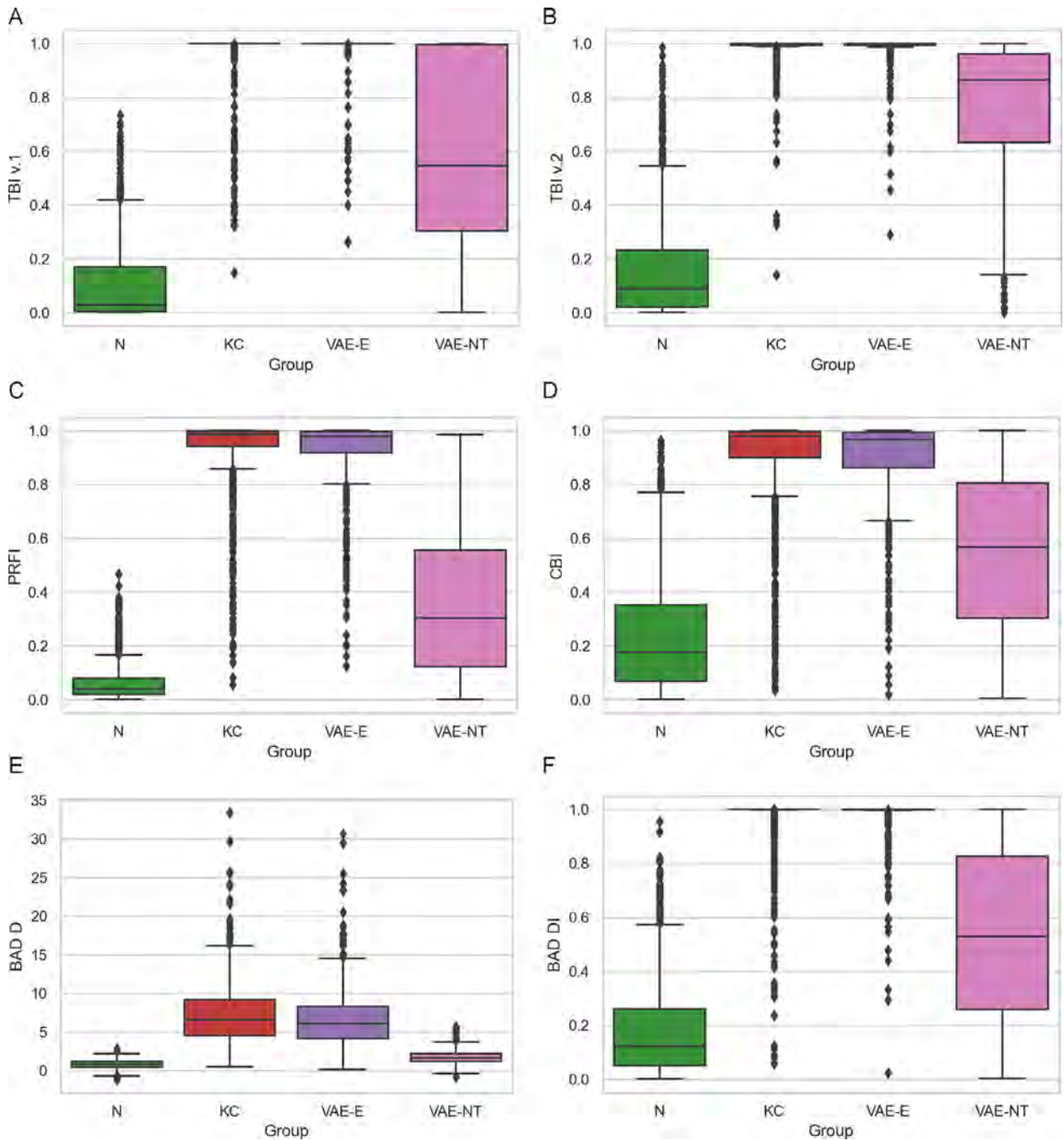


FIGURE 4. Box and dot plots showing the distribution of metric values across the groups (n = 1680 normal eyes [N], 1181 keratoconic eyes [KC], 551 eyes with normal topography from very asymmetric ectasia patients [VAE-NT], and 474 ectatic unoperated eyes from the very asymmetric ectasia patients [VAE-E]). A. The first version of the tomographic and biomechanical index (TBIv1). B. The optimized version of the TBIv2). C. Pentacam Random Forest Index (PRFI). D. Corvis Biomechanical Index (CBI). E. Belin/Ambrósio Deviation (BAD-D). F. Belin/Ambrósio Deviation normalized index (BAD-DI). The box spans the first and third quartile. The whiskers indicate the 1.5-fold interquartile range. Colored markers representing each value in each patient and its mean are superimposed.

to be the cases with VAE, considering the findings in the fellow VAE-E eye. Interestingly, accordingly to Rabinowitz and associates,⁶⁷ these cases are consistent with the criteria for FFKC.³⁵ From the 511 cases with VAE-NT with ob-

jectively typical topometric data, 75.1% had TBIv1 higher than 0.29 and 83.3% had TBIv2 higher than 0.43.

The asymmetry of the IS-value within 6 mm, as described by Rabinowitz,⁵⁹ with the classical cutoff value of

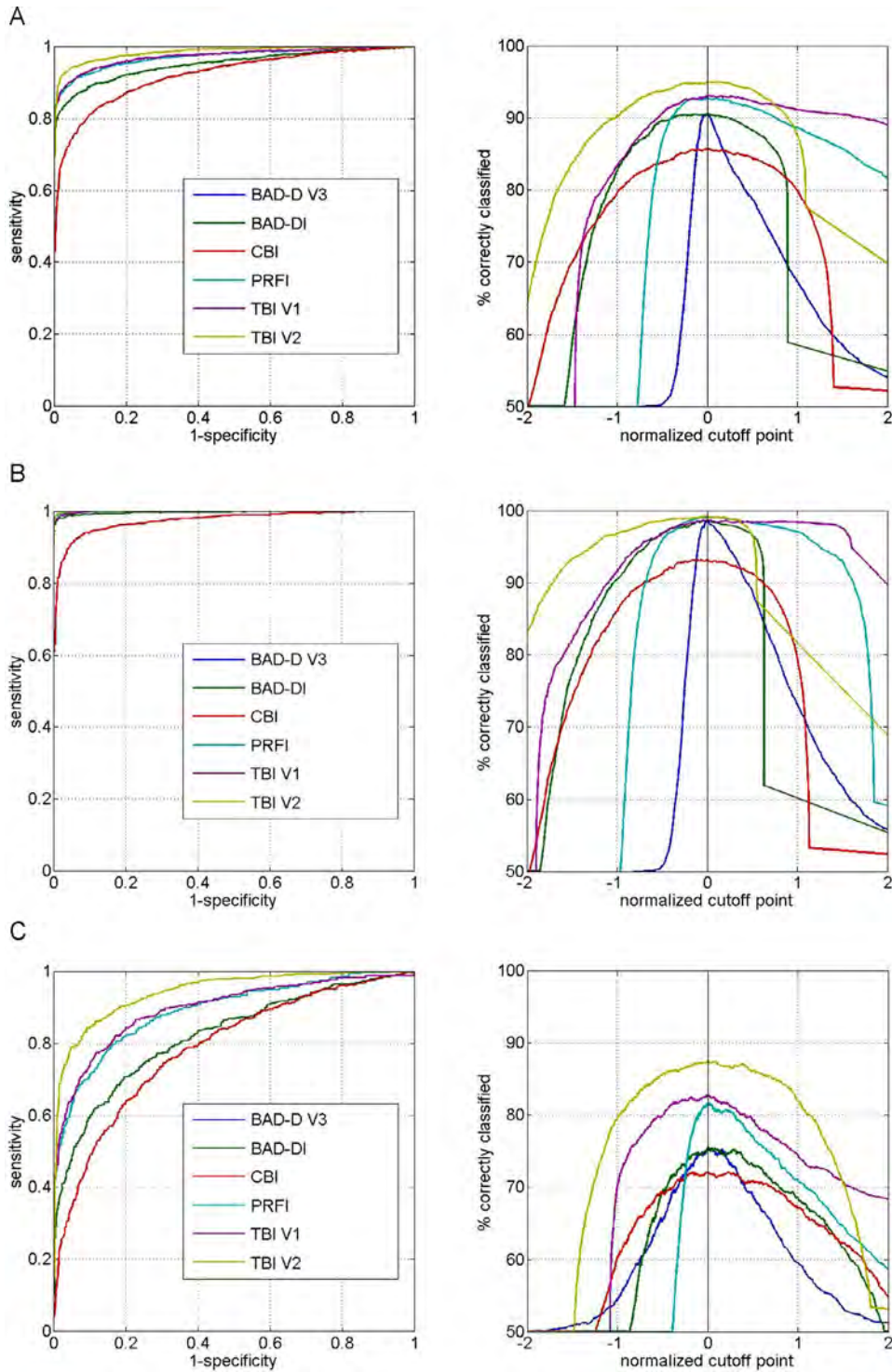


FIGURE 5. Receiver operating characteristic (ROC) and separation (SEP) curves for the different studies. A. Normal vs all groups (keratoconus [KC] + very asymmetric ectasia with clinical ectasia (VAE-E) + the normal topography eye from very asymmetric ectasia patients [VAE-NT]). B. Normal vs clinical ectasia (KC + VAE-E). C. Normal vs VAE-NT. BAD-D v3 = Belin/Ambrósio (version 3) Deviation, BAD-DI = Belin/Ambrósio Deviation normalized index, CBI = Corvis Biomechanical Index, PRFI = Pentacam Random Forest Index, TBI = tomographic and biomechanical index.

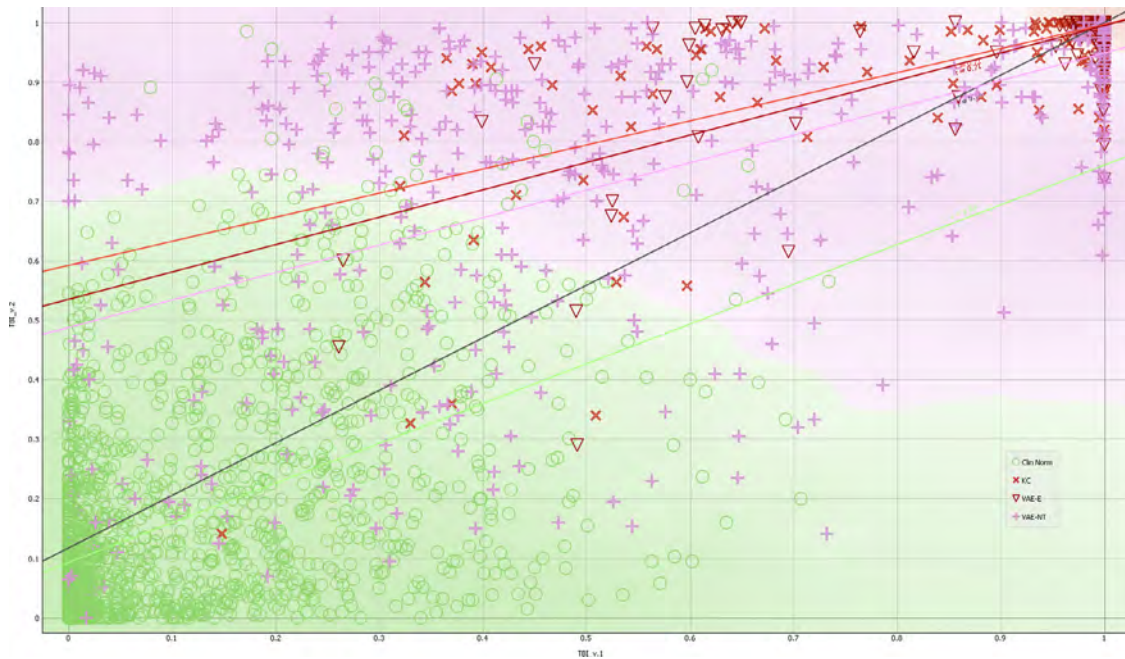


FIGURE 6. Correlation between the original (TBIv1) and the optimized version (TBIv2) of the tomographic and biomechanical index. KC = keratoconic, N = normal patient, VAE-E = ectatic unoperated eyes from the very asymmetric ectasia patients, VAE-NT = normal topography from very asymmetric ectasia patients.

1.6, would lead to a specificity of 99.6% and a sensitivity of 5.1% for the cases with VAE-NT and 84.5% for clinical ectasia. Reducing the cutoff to 1.45D, the specificity would decrease to 99.3%, with a sensitivity of 86.6% for clinical ectasia and 6.9% for the cases with VAE-NT. The Kmax with a cutoff value of 47.6D would lead to a specificity of 97.7% and a sensitivity of 82.1% for clinical ectasia and a sensitivity of 4.9% for the cases with VAE-NT. Interestingly, 8% of the clinical ectatic patients had a Kmax lower than 46D, and 3.3% had a Kmax lower than 45D. The ART-max,⁶⁴ with a cutoff value of 329 μm , has a specificity of 98% and a sensitivity of 93.7% for clinical ectasia but only 34.7% for VAE-NT. Considering the cutoff of 387 μm for ART-max, the specificity would decrease to 83.6%, with a sensitivity of 65.7% for VAE-NT.

DISCUSSION

The current multicenter cross-sectional case-control retrospective study demonstrated the ability to optimize AI algorithms integrating Scheimpflug-based corneal tomographic and biomechanical data to enhance ectasia detection. AI has the capacity to (and should) continuously evolve, boosting its complexity to improve accuracy.^{7,68} AI improvements occur through better training, which necessitates more data for the refinements. The “Knowledge Discovery in Databases” process was applied for data min-

ing and developing AI functions.⁶¹ Feature selection techniques included forward selection, backward elimination, and genetic algorithms.⁶² There are 2 ways to gather more information for the training protocols: larger population data sets and novel attributes or parameters.^{2,7,68} We analyzed 3886 eyes from 3412 patients, considering 340 parameters extracted from the Pentacam and Corvis ST examinations.

Besides an extensive population data set, including a relatively large set of subclinical cases was a major reason for the success in optimizing AI training in this study. Including such cases allows for identifying nuances in the very mild, asymptomatic cases. In this context, patients presenting with VAE, in which the fellow eye had a comprehensive ophthalmic examination within normal limits, have served as the most common models to develop, test, and demonstrate the improved ability to detect ECD by using advanced imaging data.^{7,12,13,17,19,36,37,69} Other inclusion criteria that could represent subclinical ectasia cases include the retrospective analysis of the preoperative state of eyes that developed progressive ectasia after LVC procedures¹⁶ and longitudinal studies that identify the relatively normal cases with natural ectasia evolution.^{55,70,71}

The first version of the TBI training set included 94 VAE-NT eyes, from which 85 (90.4%) had TBIv1 higher than 0.29.¹⁷ The current study included 551 patients with VAE, with 511 within the rigorous, objective criteria for VAE-N: KISA less than 60 and IS-value lower than 1.45D.⁶⁵ In this more extensive series of cases with VAE-NT, the sensitivity

TABLE 3. Description of the AUC and AUSEP Curves Calculated Between the Limits of -1 and +1 Standard Deviations for (A) Normal vs All Groups (KC + VAE-E + VAE-NT), (B) Normal vs Clinical Ectasia (KC + VAE-E), and (C) Normal vs VAE-NT

Variable	AUC	SE	95% CI	AUSEP
(A) KC + VAE-E + VAE-NT				
TBlv1	0.974	0.00236	0.968-0.979	82
TBlv2	0.985	0.00156	0.981-0.989	87
PRFI	0.972	0.00239	0.967-0.977	68
BAD-D	0.952	0.00336	0.945-0.959	72
CBI	0.923	0.00418	0.914-0.931	68
(B) KC + VAE-E				
TBlv1	0.999	0.000269	0.997-1.000	93
TBlv2	0.999	0.000339	0.997-1.000	75
PRFI	0.999	0.000326	0.997-1.000	87
BAD-D	0.995	0.00114	0.992-0.997	75
CBI	0.968	0.00283	0.962-0.974	81
(C) KC + VAE-NT				
TBlv1	0.899	0.00861	0.886-0.911	58
TBlv2	0.945	0.00574	0.935-0.954	70
PRFI	0.893	0.00862	0.879-0.905	36
BAD-D	0.823	0.0112	0.807-0.839	38
CBI	0.788	0.0116	0.771-0.805	39

AUC = area under the receiver operating characteristic curve, AUSEP = area under the separation curve, BAD-D v3 = Belin/Ambrósio (version 3) Deviation, CBI = Corvis Biomechanical Index, KC = keratoconic, PRFI = Pentacam Random Forest Index, TBI = tomographic and biomechanical index, VAE-E = very asymmetric ectasia with clinical ectasia, VAE-NT = normal topography from very asymmetric ectasia patients.

SE, calculated by Bimomial exact; 95% CI, calculated based on DeLong's method.⁵⁹

of the TBlv1 for the same cutoff of 0.29 was 76%. The new TBlv2 augmented the sensitivity up to 84.4% (DeLong, $P < .0001$).

The higher accuracy of the TBlv2 over the TBlv1¹⁷ and the PRFI¹⁶ could not be shown by the ability to detect clinical ectasia. Either TBlv1, TBlv2, and PRFI had a similar AUC of 0.999 with no statistical significance (DeLong, $P = .818$). The TBlv2 had a slight advantage in accuracy, with 98.7% sensitivity, 99.2% specificity, and a cutoff of 0.8. The TBlv1 had 98.5% sensitivity, 98.6% specificity, and a cutoff of 0.5. Paradoxically, the AUSEP of the TBlv2 was lower, which may be related to the higher cutoff. Also, although TBlv2 had a higher specificity, more normal cases had higher values (Figure 4). The interpretation for the high TBlv2 scores in some eyes in the clinically normal group is that those cases may have a higher susceptibility to developing ectasia, which can be tested in future prospective studies using finite element simulations.³¹ Considering all cases, the TBlv2 also had a higher AUC (0.985) than the TBlv1 (0.974; DeLong, $P < .0001$) and PRFI (0.972; DeLong, $P < .0001$).

A significant limitation of this study is related to the fact that the cases with VAE represent a diverse group because some may be genuine cases with unilateral ectasia.^{54-57,71,72} According to Global Consensus from 2015, KC is, by definition, an asymmetric bilateral disease, while secondary mechanical-related ectasia may occur in only 1 eye. In addition, the 2015 consensus failed to reach an agreement on the definition of FFKC.⁵⁸ Nevertheless, the concept that FFKC remains with high ectasia susceptibility, but did not progress to the disease's full-blown (forme pleine) presentation.⁷³ Although there is a significant variability in the criteria for defining SCKC and FFKC in the literature, the VAE-NT group may represent a logical group to test the ability of any parameter to quantify the level of predisposition or susceptibility for corneal biomechanical decompensation.^{55,69,74} Another limitation of the current study was

TABLE 4. Descriptive Median and Range (Minimum to Maximum) for the Main Parameters

	Clinically Normal (n = 1680)			KC (n = 1181)			VAE-E (n = 474)			VAE-NT (n = 551)		
	Minimum	Median	Maximum	Minimum	Median	Maximum	Minimum	Median	Maximum	Minimum	Median	Maximum
TBlv1	0	0.028	0.734	0.148	1	1	0.261	1	1	0	0.546	1
TBlv2	0	0.09	0.985	0.141	1	1	0.29	1	1	0	0.865	1
PRFI	0	0.038	0.466	0.054	0.986	1	0.124	0.978	1	0	0.302	0.986
BAD-D (v3)	-1.13	0.81	2.81	0.49	6.64	33.42	0.1	6.105	30.63	-0.82	1.64	5.65
CBI	0	0.175	0.964	0.035	0.979	1	0.019	0.967	1	0.003	0.566	1
IS-value (D)	-1.63	0.11	2.16	-2.87	4.66	29.68	-7.08	3.85	19.84	-1.49	0.6	4.3
Kmax (D)	39.6	44.5	49.8	41.5	52.3	85.1	42.5	51.6	86.2	38.9	44.7	55.1
ART-max (µm)	267	454	786	0	176	720	0	196	467	126	362	638

ART-max = Ambrósio's relational thickness to the maximal progression meridian, BAD-D = Belin/Ambrósio Deviation (version 3), CBI = Corvis Biomechanical Index, IS-value = the inferior-superior asymmetry at 6 mm in diameter, Kmax = maximal keratometric (axial) value on the front surface, PRFI = Pentacam Random Forest Index, TBlv1 = original tomographic and biomechanical index, TBlv2 = optimized version of the tomographic and biomechanical index.

not to include less common phenotypes of “natural” ectasia: pellucid marginal degeneration and keratoglobus.⁷⁵ Still, these cases have typical presentations that may facilitate clinical diagnosis; there is a need for future studies testing the accuracy of the novel TBIv2 for detecting such cases.

The BrAIN-TBI or TBIv2 was the most consistent AI algorithm using a novel RF, including 18 features (Table 2) in 156 trees with 10-fold cross-validation. The parameters that were selected had relatively high clinical relevance. Rotating Scheimpflug tomography (Pentacam)^{76,37} provided 10 features, and 8 parameters were derived from Corvis ultrahigh-speed Scheimpflug imaging during the noncontact tonometry.^{77,78} The Pentacam parameters included the PRFI,¹⁶ the paracentral IS-value at 6 mm (3 mm radii),⁶⁵ 3 metrics from corneal wavefront,¹¹ 1 metric from peripheral vertical pachymetric asymmetry,^{79,80} the vertical deviation of the Kmax, 2 metrics from Belin’s enhanced back elevation, and 1 metric from anterior elevation.⁸¹⁻⁸³ The CBI,¹⁵ Roberts’s stiffness parameters (at first applanation and at highest concavity momentum),^{84,85} and other corneal deformation parameters were included.^{77,78}

RF is an advanced compound method that involves multiple DT. As in a classic DT, the analyzed case is successively split into 2 mutual subgroups (branches) that subdivide until a final decision on class assignment (leaves). The RF approach considers numerous trees for a cooperative effort for the decision output. The algorithm grows the trees by sampling the data into random subgroups. Some input variables are also randomly selected to test the data splitting at each node. According to an objective function, the predictor variable that delivers the best split is applied to each node. Each tree gets a “vote” in the classification process. The final classification is based on the votes of all trees for providing a combined value that typically varies from zero to 1.⁸⁶

The consistency of the model is highlighted because both the NN/MLP and LRA algorithms employing the same 18 variables had equally good diagnostic performances. As an iteration process, the RF model with these 18 variables trained with LOOCV had a slightly better AUC of 0.987 for all cases (N × KC + VAE-E + VAE-NT) but with no statistical significance (DeLong, $P = .169$). As for any machine learning method, it is fundamental to include a cross-validation method to minimize the risk of overfitting. Proper cross-validation will infer or presume the ability to generalize the external validity of the model. The first version of the TBI used LOOCV.¹⁷ In contrast, the current study employed 10-fold cross-validation because of the larger population as it decreases computational time and complexity, still maintaining and possibly enhancing generalization. By this means, the 10-fold cross-validation ensures the reliability or robustness of the model for classifying new data. Unlike LOOCV, the database is randomly divided into 10 groups, balancing the number of cases in the subgroups. Subsequently, the AI training of the model has repeated 10 folds. Each time, one group is

excluded, in which the model trained with the 9 of 10 cases is tested. The reported outcomes of the TBIv2 include the outputs from the 10-fold cross-validation model. These results present a lower (less optimistic) accuracy when compared with the virtually perfect accuracy of the final TBIv2 model that contemplates all cases and will be programmed in the commercial Oculus software (Ambrósio, Roberts & Vinciguerra Tomography and Biomechanical Display). However, the cross-validation results are expected to represent a more trustworthy picture of the expected generalized performance for the TBIv2 in clinical application.

The last 3 decades witnessed a tremendous advance in corneal imaging, which includes the development of high-resolution technologies capable of detailed characterizations of different aspects of corneal shape and anatomy and introducing scientifically validated methods for representing and interpreting the generated data.⁸⁷ Applying AI was a natural progression for analyzing the overwhelming plethora of available data.^{7,8,54}

Placido disk–based corneal topography characterizes the anterior or front corneal surface, enabling the detection of abnormalities consistent with mild-to-moderate forms of KC in eyes with normal slitlamp biomicroscopy and normal distance-corrected visual acuity.^{88,89} There are still cases that develop ectasia despite relatively normal preoperative topography before laser in situ keratomileusis (LASIK),^{30,90-92} SMILE (small incision lenticular extraction),^{93,94} surface ablation,⁹⁵ and even LASIK-Xtra (LASIK with prophylactic crosslinking).⁹⁶ Ectasia detection is also relevant when evaluating candidates for refractive cataract surgery,⁹⁷ because it impacts intraocular lens calculation, quality of vision, and the ability for corneal enhancements.^{98,99}

Front surface corneal topography evolved into 3-dimensional tomographic analysis, which characterizes the front (including topometric or curvature) and back surfaces’ elevation and thickness mapping.¹⁰⁰ Further advances in corneal imaging allowed for segmental or layered tomographic (3-dimensional) characterization with epithelial thickness^{14,101-103} and Bowman’s layer mapping.^{20,104,105} Beyond shape analysis, in the context of multimodal refractive imaging,⁸ clinical biomechanical assessment has been considered an additional tool for augmenting the sensitivity for identifying mild forms of ECD and the characterization of the inherent susceptibility for ectasia progression.^{17,53,106,107} Future biomechanical assessment to characterize material stiffness using Corvis ST data (ie, stress-strain index map)¹⁰⁸ or from novel tools such as Brillouin microscopy¹⁰⁹⁻¹¹³ and phase-decorrelation ocular coherence tomography^{109,114-116} may further enhance AI applications. Ultimately, genetic testing through linkage analysis or genome-wide association studies may further elucidate about the intrinsic susceptibility for biomechanical decompensation, which promises to test and further improve AI for ectasia diagnosis.¹¹⁷⁻¹¹⁹

This study was limited to patients with unoperated corneas. Future external validation studies are needed to test the accuracy of the TBlv2, including testing the specificity among cases with high astigmatism, corneal warpage, and dry eye. Further AI optimization is expected for post-LVC procedures, as developed by Vinciguerra and associates¹²⁰ for the CBI after LVC. In addition, specific improvements for certain ethnicities (ie, Chinese and Sub-Saharan Africa) can augment specificity while not jeopardizing the model's sensitivity. Besides diagnosis, AI can be developed to improve prognosis and clinical follow-up, as described by integrating biomechanical parameters into Belin's tomographic ABCD system.¹²¹⁻¹²³ The relevance for enhanced ectasia detection may go beyond the management of cases with ECD and assessing ectasia risk before elective LVC procedures. For example, there are different associations of KC with posterior segment structure findings (ie, optic nerve head and the choroid).¹²⁴ In addition, the hypothesis that mild or SCKC could be a risk

factor for a mother to have a baby with Down's syndrome was also raised.¹²⁵ Although understanding the underlying mechanisms for such relationships is not complete, enhanced analysis of the cornea based on properly trained AI, considering specific well-designed fundamental questions, may provide relevant parameters for future clinical applications.

The current study validated the hypothesis that AI can be optimized to improve ectasia detection. Such advances may augment the reliability of clinical decisions related to screening cases at risk for ectasia after LVC. The relevance of AI develops fast in different areas of ophthalmology. We predict rapid developments with increasing applications for enhancing the safety and efficiency of therapeutic and elective refractive surgery. AI advances should involve gathering extensive data from large populations and including features from multimodal imaging technologies, such as epithelial thickness (segmental or layered tomography), axial length, and ocular wavefront data.

ALL AUTHORS ATTEST THAT THEY MEET THE CURRENT ICMJE CRITERIA FOR AUTHORSHIP. THE OTHER AUTHORS HAVE NO CONFLICT OF INTEREST TO DISCLOSE.

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